

METHODOLOGY

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# Fast estimation of genetic relatedness between members of heterogeneous populations of closely related genomic variants

Viachaslau Tsyvina<sup>1\*</sup>, David S. Campo<sup>2</sup>, Seth Sims<sup>1,2</sup>, Alex Zelikovsky<sup>1</sup>, Yury Khudyakov<sup>2</sup> and Pavel Skums<sup>1,2</sup>

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## Abstract

**Background:** Many biological analysis tasks require extraction of families of genetically similar sequences from large datasets produced by Next-generation Sequencing (NGS). Such tasks include detection of viral transmissions by analysis of all genetically close pairs of sequences from viral datasets sampled from infected individuals or studying of evolution of viruses or immune repertoires by analysis of network of intra-host viral variants or antibody clonotypes formed by genetically close sequences. The most obvious naïve algorithms to extract such sequence families are impractical in light of the massive size of modern NGS datasets.

**Results:** In this paper, we present fast and scalable k-mer-based framework to perform such sequence similarity queries efficiently, which specifically targets data produced by deep sequencing of heterogeneous populations such as viruses. It shows better filtering quality and time performance when comparing to other tools. The tool is freely available for download at <https://github.com/vyacheslav-tsyvina/signature-sj>

**Conclusion:** The proposed tool allows for efficient detection of genetic relatedness between genomic samples produced by deep sequencing of heterogeneous populations. It should be especially useful for analysis of relatedness of genomes of viruses with unevenly distributed variable genomic regions, such as HIV and HCV. For the future we envision, that besides applications in molecular epidemiology the tool can also be adapted to immunosequencing and metagenomics data.

**Keywords:** Similarity search, Similarity join, K-mer, Filtering, Edit distance, Hamming distance

## Background

Consider two sets  $T_1$  and  $T_2$  each containing  $N$  DNA or RNA sequences of length  $L$ . The *similarity join problem* consists in locating the set  $P$  of all pairs of sequences, with one sequence from  $T_1$  and the other from  $T_2$ , within an edit distance or Hamming distance defined by the specified threshold  $t$ . In molecular epidemiology, this computational problem needs to be solved for detection of viral transmissions from sequences of intra-host viral variants

sampled from infected individuals [1, 2]. Viral populations, for which the minimal inter-sample distance does not exceed the threshold, are considered to be potentially linked by transmission [1], while the number of pairs in  $P$  may suggest the time since a transmission event [3]. The related *genetic network construction* problem aims to build a graph with vertices corresponding to sequences from a given dataset  $T$  and edges corresponding to all pairs of sequences with an edit or Hamming distance less than the threshold  $t$ . This problem arises in studying and analysis of viral populations [4] or antibody repertoires [5]. Similar problems also emerged under different names in various areas of computer science [6–10].

\*Correspondence: [vtsyvina1@student.gsu.edu](mailto:vtsyvina1@student.gsu.edu)

<sup>1</sup>Computer Science Department, Georgia State University, 25 Park Place NE, 30303 Atlanta, GA, USA

Full list of author information is available at the end of the article



The edit distance between a pair of sequences can be calculated in time  $O(L^2)$  using dynamic programming [11]. If only distances below a desired threshold  $t$  which is small relative to  $L$  are desired. The distance calculation can be carried out with a small subset of diagonals neighboring the main diagonal of the dynamic programming matrix, leading to  $O(tL)$  time algorithm [12]. In this case a naïve algorithm for the similarity join problem requiring pairwise comparison of all sequences has an asymptotic running time  $O(tLN^2)$ , which is still impractical for more than several thousand sequences.

Several *filtering-based approaches* have been put forward to improve the efficiency of the similarity join-type problems by reducing the number of pairs to be compared. Note that while fast heuristic and approximate methods exist such as Shingling [13], LSH [7], or BLAST [14], this paper focuses on the problem of exact distance calculation.

The common filtering approach is based on the fundamental idea that related sequences should share long *k-mers* (substrings of length  $k$ ) [15]. Several existing methods rely on signature schemes to quickly locate feasibly linked pairs [6] by assuming that pairs with an edit or Hamming distance which does not exceed a threshold  $t$  will share at least a certain number of *k-mer*-based signature keys. However, straightforward application of this technique to viral sequencing data is not sufficiently efficient, since mutations are not distributed uniformly along viral genomes, but tend to concentrate in short hypervariable regions [16]. As a result, many viral sequences share *k-mers*, thus significantly reducing the efficiency of filtering. The same effect has been observed for immunosequencing data [5], where all antibodies originating from the same V gene often share a *k-mer* from that gene.

In this paper, we describe a tool which uses *k-mer*-based signature filtering scheme optimized for viral data to solve the following problems:

- *Sample pair filtering*: given two NGS sequence samples  $T_1$  and  $T_2$ , quickly determine whether the distances between all inter-sample pairs of sequences are greater than the threshold  $t$ .
- *Inter-sample sequence retrieval* (similarity join): given two NGS sequence samples  $T_1$  and  $T_2$ , find all inter-sample pairs of sequences at edit distance or hamming distance below the threshold  $t$ .
- *Intra-sample sequence retrieval* (or genetic network construction): given an NGS sequence sample  $T_1$ , find all pairs of sequences at edit distance or hamming distance below the threshold  $t$ .

The tool was validated using Hepatitis C Virus (HCV) data in the settings used for detection of viral transmissions and outbreaks [1, 2].

## Methods

### Notation

In the methods description, we assume that input sequence samples  $T_1$  and  $T_2$  both contain  $N$  sequences of length  $L$ , which cover the same genomic region. From here onwards we will use  $k$  as a fixed predefined parameter.

Further we will use the following notation:

- $S = s_1s_2 \dots s_L$  - sequence over the alphabet  $\{A, C, T, G\}$ .
- $S[i : j] = s_i s_{i+1} \dots s_j$  - subsequence of  $S$  starting at position  $i$  and ending at position  $j$ .
- *k-mer* - any subsequence of length  $k$
- *k-segment* - *k-mer* that starts at a position  $1 + ik$ ,  $i = 0, 1, 2, \dots$
- $K(S)$  - the set of all *k-mers* of the sequence  $S$ .
- $R(S)$  - the family of all *k-segments* of the sequence  $S$  (possibly with repetitions).
- $h(S, Q)$  - Hamming distance between two sequences  $S$  and  $Q$
- $l(S, Q)$  - edit distance (Levenshtein distance) between two sequences  $S$  and  $Q$
- $led(S, Q) = \begin{cases} l(S, Q), & \text{if } l(S, Q) \leq t \\ -1, & \text{otherwise} \end{cases}$  - limited edit distance, as mentioned above, could be calculated using dynamic programming [12]

### Main Data Structure

Our signature-based filtering scheme is based on the following simple observation:

**Proposition 1.1** [6] *If  $l(S, Q) \leq t$ , then  $|K(Q) \cap R(S)| \geq m - t$ , where  $m = \lfloor \frac{L}{k} \rfloor$ .*

*Proof* If  $S$  and  $Q$  differ by an edit distance of  $t$ , then by the pigeon hole principal at most  $t$  *k-segments* differ between the sequences  $S$  and  $Q$ . So at least  $m - t$  *k-segments* must be the same.  $\square$

Thus we need a fast way to calculate the number of common *k-segments* and *k-mers* for a given pair of sequences. To do it we introduce a hash function:

$$hash(S[i : j]) = \sum_{l=i}^j f^{j-l}(s_l), \tag{1}$$

where  $f : \{A, C, G, T\} \rightarrow \{0, 1, 2, 3\}$  is an arbitrary bijection. For *k-mers* with  $k < 32$ , this hash function allows us to store them as 64-bit integers and can be quickly recursively calculated as follows:

$$hash(S[i + 1 : j + 1]) = hash(S[i : j]) - 4^{n-1}f(s_i) + f(s_{j+1}) \tag{2}$$

In addition, the hash can be inverted and so only the hash values of  $k - mers$  need to be stored.

In the proposed framework, each sample  $T$  is stored using a data structure further referred to as a  $T$ -dictionary and denoted by  $dict(T)$ , which consists of the following fields:

- $dict(T).HM$  - an inverted index of  $T$  [17], i.e. a hash table, where each key is a  $k$ -mer hash and its value is a set of all sequences from  $T$  that contain this  $k$ -mer.
- $dict(T).KM$  - A set of all possible  $k$ -mer hashes in  $T$
- $dict(T).KS$  - hash table, where keys are sequences and values are lists of their  $k$ -segments (represented by their hash values) from 1 to  $m$
- $dict(T).SC$  - A list of  $L$  sets  $SC_1, \dots, SC_m$ , where  $SC_i$  is a set of all  $k$ -segments in a position  $1 + ik$  (represented by their hash values).

**Algorithm Description**

We will first describe the approach for the sample pair filtering problem. Building a simple and fast filter for unrelated samples  $T_1$  and  $T_2$  is easy by applying Proposition 1.1 to whole samples as follows. Recall that  $T_1$  and  $T_2$  are considered to be genetically related, if the minimal edit distance between their sequences does not exceed the threshold  $t$ . Given two dictionaries  $dict(T_1)$  and  $dict(T_2)$ , the necessary condition for their genetic relatedness is an existence of at least  $m - t$  positions  $\{i_1, i_2, \dots, i_{m-t}\}$  such that  $dict(T_1).SC_{i_j} \cap dict(T_2).KM \neq \emptyset$  for every  $j = 1, \dots, m - t$ . The sample pair filter pseudocode is presented at Algorithm 1.

**Algorithm 1** Simple filter for unrelated samples

```

1: function CALCULATECOINCIDENCES(dictT1, dictT2, m, t)
2:   coincidences = 0
3:   for lSegmentHashes  $\in$  dict1.SC do
4:     for hash  $\in$  lSegmentHashes do
5:       if hash  $\in$  dict2.KM then
6:         coincidences ← coincidences + 1
7:       break
8:     end if
9:   end for
10:  end for
11:  return coincidences  $\geq$   $m - t$ 
12: end function
13:

```

Assuming that membership verification for a hash set  $dict(T).KM$  can be performed in time  $O(1)$ , the worst-case running time of the filter is  $O(NL)$ . In real settings,

samples with genetically related sequences produce significantly smaller maps  $dict(T).SC$ , thus leading to a lower average running time than in the worst case.

The algorithms for inter-sample sequence retrieval and intra-sample sequence retrieval problems are very similar, so we will describe the approach for the former problem. As before, let  $T_1$  and  $T_2$  be two samples. The algorithm first constructs the set of *candidate neighbors*  $CN_S \subseteq T_2$  for every sequence  $S \in T_1$ . This procedure (*the filtering*), is followed by the *verification* procedure, which calculates actual neighbors of all sequences  $S \in T_1$  by calculating distances between  $S$  and all sequences  $S' \in CN_S$ . The pseudocode for inter-sample sequence retrieval algorithm is presented in Algorithm 2.

The basic filtering strategy utilizes Proposition 1.1, with the following features aiming at improvement of the running time. For each  $S \in T_1$ , the set  $CN_S$  can be implemented as a hash table, with keys being sequences  $S' \in T_2$  and values  $CN_S(S')$  being numbers of matches between  $k$ -segments in  $S$  and  $k$ -mers of  $S'$ . Let  $L_S$  be the number of  $k$ -segments of  $S$  that occur as  $k$ -mers in  $T_2$ , and  $I = (i_1, i_2, \dots, i_{L_S})$  be the list of starting positions of these  $k$ -segments. To calculate the number of matches between  $k$ -segments in  $S$  and  $k$ -mers of  $S'$  we may iterate over the list  $I$  and increment the current value of  $CN_S(S')$ , when necessary. If after  $j$  iterations the inequality

$$m - t \leq L_S - j + CN_S(S') \tag{3}$$

does not hold, then  $S'$  cannot accumulate the required number of matches with the remaining iterations, and therefore the sequence  $S'$  can be filtered out right away. These considerations imply that the order in which starting positions of  $k$ -segments are examined is important in determining the algorithm's running time.

The order of  $k$ -segment starting positions is determined heuristically as follows. For each position  $i$  let  $k_S(i) = |dict(T_2).HM(S[i : i+k-1])|$  be the number of sequences from  $T_2$  that contain the  $i$ -th  $k$ -segment from  $S$ . If we sort positions by ascending order of the numbers  $k_S(i)$  it usually leads to faster pruning of sequence pairs as this order minimizes the size of the candidate set that must be examined at each iteration.

Another simple adjustment could be implemented using the fact that the hamming distance is an upper bound for an edit distance, while the calculation of the former is significantly faster. Therefore if  $h(S, Q) \leq t$ , then  $Q$  can be added to the list of neighbors of  $S$  without the edit distance calculation.

**Hamming distance adjustment**

The filtering strategy described above can be further improved, if the input sequences are aligned to a reference. In this case the samples can be compared using Hamming distance instead of an edit distance. For Hamming

**Algorithm 2** Signature-based filter to find sequence pairs closer than threshold

---

```

1: function SIGNATUREFILTER( $T_1, T_2, \text{dict}T_1, \text{dict}T_2, t$ )
2:   for  $s \in T_1$  do                                     ▷ lines 4 through 12 calculate candidates list  $CN_s$  for each sequence  $s$ 
3:      $CN_s \leftarrow$  hash map (sequence  $\rightarrow$  hit count)
4:     order  $\leftarrow$  indexes of segments sorted in ascending order by their frequencies in  $T_2$ 
5:      $L_s \leftarrow$  number of  $k$ -segments from  $s$  that appear in  $T_2$ 
6:     for  $i = 0 \rightarrow L_s$  do
7:       if  $i \leq L_s - (m - t)$  then
8:         FILL( $CN_s, s, \text{dict}T_1, \text{dict}T_2, \text{order}, i$ )      ▷ Fill candidates list with sequences that have  $i$ -th
            $k$ -segment from  $s$ 
9:       else
10:         $CN_s \leftarrow$  FILTER( $CN_s, s, \text{dict}T_1, \text{dict}T_2, \text{order}, i$ )  ▷ Filter sequences from candidates list that
           do not share  $m - k$   $k$ -mers
11:       end if
12:     end for
13:                                     ▷ lines 15 through 21 examine sequences from the candidate list  $CN_s$ 
14:     for  $s' \in$  keys of  $CN_s$  do
15:       if  $h(s, s') \leq t$  or  $\text{led}(s, s') \neq -1$  then
16:          $s$  and  $s'$  are related
17:       end if
18:     end for
19:   end for
20: end function
21:
22:                                     ▷ function FILL adds all sequences from  $T_2$  that share the same  $k$ -mer with  $s$ 
23: function FILL( $CN_s, s, \text{dict}T_1, \text{dict}T_2, \text{order}, i$ )
24:   segmentHash  $\leftarrow$   $\text{dict}T_1.KS[s][\text{order}[i]]$ 
25:   for  $s' \in \text{dict}T_2.HM[\text{segmentHash}]$  do
26:     add  $\{s', 1\}$  to  $CN_s$  or increment current value for key  $s'$ 
27:   end for
28: end function
29:
30:                                     ▷ function checks candidate sequences and remove them if they do not share enough  $k$ -mers with  $s$ 
31: function FILTER( $CN_s, s, \text{dict}T_1, \text{dict}T_2, \text{order}, i$ )
32:   segmentHash  $\leftarrow$   $\text{dict}T_1.KS[s][\text{order}[i]]$ 
33:   filteredCandidates  $\leftarrow$  hash map (sequence  $\rightarrow$  hit count)
34:   for  $s' \in CN_s$  do
35:     isInDict  $\leftarrow$   $s' \in \text{dict}T_2.HM[\text{segmentHash}]$ 
36:     ▷ we keep sequence if it has  $k$ -mer equal to current segment in  $s$  or if it already has enough hits
37:     if isInDict or  $m - t \leq L_s - i + \text{candidates}[s']$  then
38:       addVar  $\leftarrow$  1 if isInDict is true, 0 otherwise
39:       add  $\{s', CN_s[s'] + \text{addVar}\}$  to filteredCandidates
40:     end if
41:   end for
42:   return filteredCandidates
43: end function

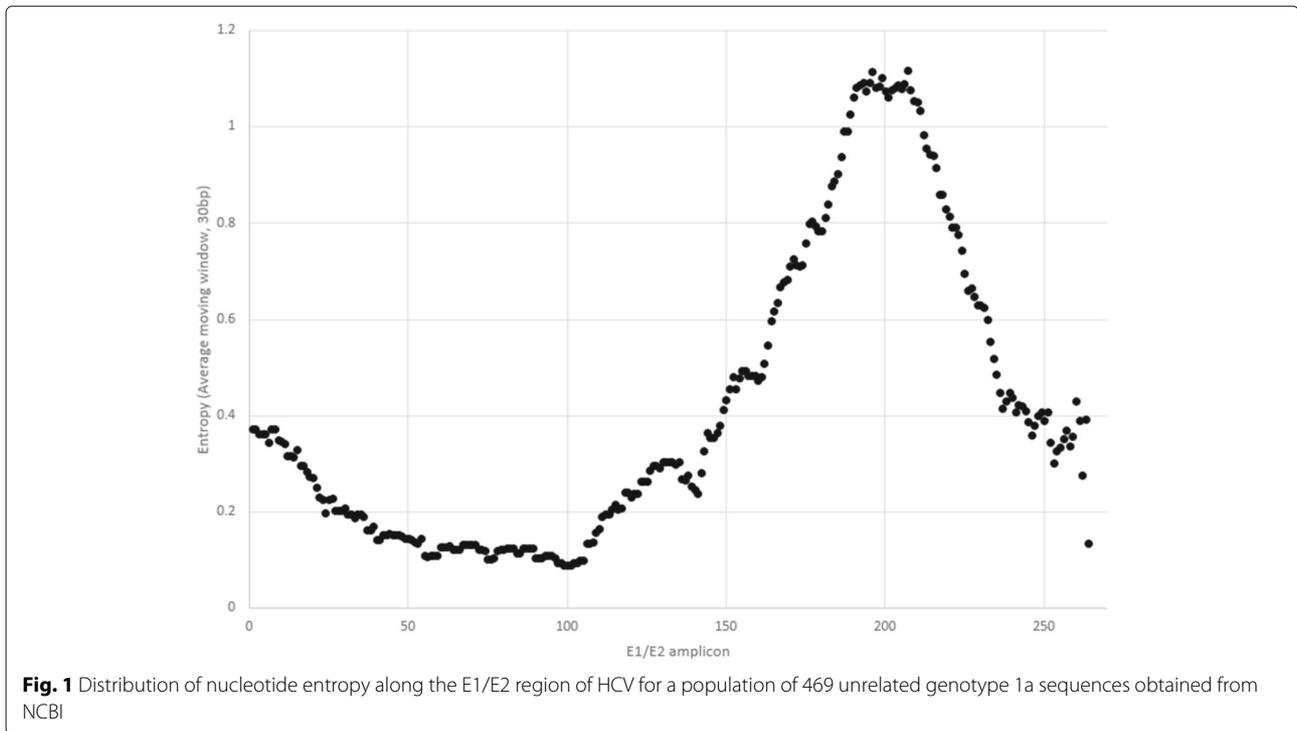
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distance, Proposition 1.1 can be applied to  $k$ -segments of both comparable sequences thus simplifying the filling and filtering steps.

Furthermore, genomic heterogeneity is distributed highly irregularly along the genomes of species of interest. For example, Fig. 1 illustrates the distribution of

nucleotide entropy for a particular intra-host population along the 264bp-long genomic HCV region at the junction of envelope glycoproteins E1 and E2, which is often used in epidemiological and immunological studies [1, 18, 19]. It should be noticed that  $k$ -segments from conserved regions are significantly less useful for



the filtering as we want to maximize detectable differences between tested sequences. The non-uniformity in genomic heterogeneity can be taken into account by switching to the framework with  $k$ -segments of unequal size. By selecting  $k$ -segment boundaries that contain roughly equal amounts of average information entropy over the dataset, the filtering speed and quality could be significantly improved. Figure 2 provides an example, when entropy-based  $k$ -segments length allows more accurate filtering than uniform  $k$ -segments length. Formally, let  $H_i = -\sum_{j=1}^4 P(x_j^i) \log_2(P(x_j^i))$  be the sample nucleotide entropy at position  $i$ , where  $P(x_j^i)$  is a frequency of nucleotide  $x_j^i$  on  $i$ -th position of the alignment. The segments are selected in such a way that for every segment  $[i, j]$  we have  $\sum_{l=i}^j H_l \approx \frac{H}{m}$ , where  $H = \sum_{i=1}^L H_i$  and  $m$  is the number of segments. Different numbers of

segments were examined empirically and the best performance was obtained with  $m = t + 7$ .

## Results

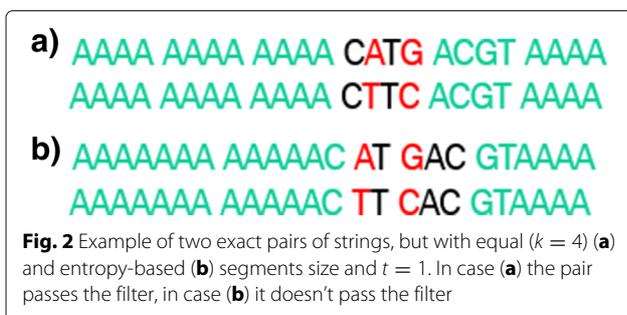
### Validation Data

The developed tool was validated using NGS datasets of intra-host HCV populations sampled from infected individuals. Each dataset contains the E1/E2 junction of the HCV genome of length 264nt, which contains the Hyper Variable Region 1 (HVR1) region. Each sample was processed by error correction and haplotyping tools, and as a result we receive as an input datasets consisting of unique HCV haplotypes.

We used a set of 413 samples from [2] with 501.5 haplotypes per sample in average produced by NGS; 8 datasets  $d_1, \dots, d_8$  with 1000, 2000, ..., 128 000 sequences produced by random sampling from NGS dataset with sequences sampled from chronically infected individuals and one additional NGS dataset  $m_1$  consisting of 10 467 sequences. The data are available in tool's repository.

In all tests, the threshold  $t = 3.77\% \equiv 10\text{nt}$  was used. This value is derived in [1] as empirically validated recommended threshold for separation between epidemiologically related and unrelated intra-host HCV HVR1 populations.

All tests were run on server with 128 Intel Xenon E7-4850 2.1GHz cores and 1.4Tb RAM. For Inter-sample sequence retrieval desktop PC was used with 4 Intel(R) Core(TM) i7-5500 2.4GHz cores and 8Gb RAM. All code



**Table 1** Results of Filter Composition pipeline and  $k$ -mer based signature scheme filtering for Sample pair filtering and Inter-Sample Sequence Retrieval problems

Method	Filter Composition	Signature Scheme
Percent of filtered sample pairs	85.1%	92%
Percent of filtered sequence pairs	91.5%	99.996%
Total Time	~ 5 min	~ 15 sec

is written on Java to provide a threaded, platform independent solution.

### Sample pair filtering and Inter-Sample Sequence Retrieval validation

For Sample pair filtering and Inter-Sample Sequence Retrieval problems, we validated the tool using HCV datasets from [2]. The proposed approach has been compared with the Filter Composition pipeline proposed in [2]. Both methods were run on a desktop computer, as in the original paper [2]. The results are reported in Table 1. Here we show the result of comparison of all pairs of samples and all inter-sample pairs of sequences.

The proposed sample pair filtration algorithm removed 92% of all possible samples pair comparisons, and sequence pair filtering algorithm managed to filter out 99.996% of all possible sequence pairs. The latter means that only 888,914 out of 22,037,502,011 sequence pairs passed from filtering to verification stage of the algorithm. As a result, the proposed approach significantly outperforms the Filter Composition Pipeline in filtering quality and in running time.

We studied how the filtering quality is affected by different optimization subroutines (Table 2). Disabling sample pair filtering increases the running time for comparison of all samples by 42%, while the impact of sorting of  $k$ -segment starting positions is even higher, with disabling of this step slowing down the comparison by 254%.

Preprocessing and dictionary building can take up a significant portion of the total running time of a signature-based filtering algorithm, when samples are distant and few distance calculations are required. For the given collection of 413 samples, preprocessing of all samples takes ~4840ms, which constitutes approximately 1/3 of the total running time of the algorithm. Note that in the case when significant number of closely related sequence pairs is present, the situation is different (see the next section).

**Table 2** Algorithm run time without optimization subroutines

Feature	Time
No sample pair filter	~21.3s
No sorting of $k$ -segment starting positions	~38.1s

**Table 3** Intra-sample Sequence Retrieval Running Time

Dataset	Pairs in output	Brute force time, s	Signature method time, s
d1	60 421	6.6	0.2
d2	370 262	25.9	0.3
d3	1 800 945	102	1.8
d4	5 848 556	413	2.8
d5	18 570 536	1 624	4
d6	38 835 302	6 499	7.8
d7	155 373 208	26 400	23
d8	621 556 832	105 555	83
m1	51 453 578	883	17

The algorithm performance depends on the size of the  $k$ -mers and  $k$ -segments. Small  $k$  leads to larger number of matches between  $k$ -segments and  $k$ -mers of distant sequences, which can cause extra sequences to be added to the candidate lists thus leading to decrease in filtering quality. Larger  $k$  leads to fewer false matches but unfortunately also a larger  $k$ -mer dictionaries. We examined different  $k$ -mer sizes to determine the optimal size for our datasets and found that  $k = 11$  gives the best performance.

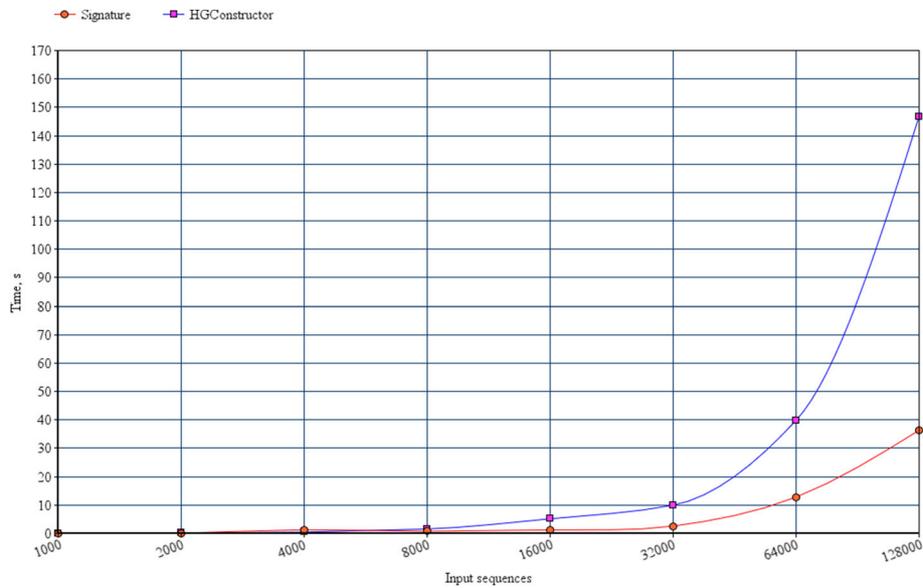
### Intra-sample Sequence Retrieval Validation

For Intra-sample Sequence Retrieval Problem, we validated the proposed approach using datasets d1,...,d8,m1. First, for it was compared with a single-thread, brute force method with the worst-case complexity  $O(N^2Lt)$ , which performs pairwise comparison of all sequences and calculates limited edit distance using dynamic programming as described in [12]. The results are presented in Table 3.

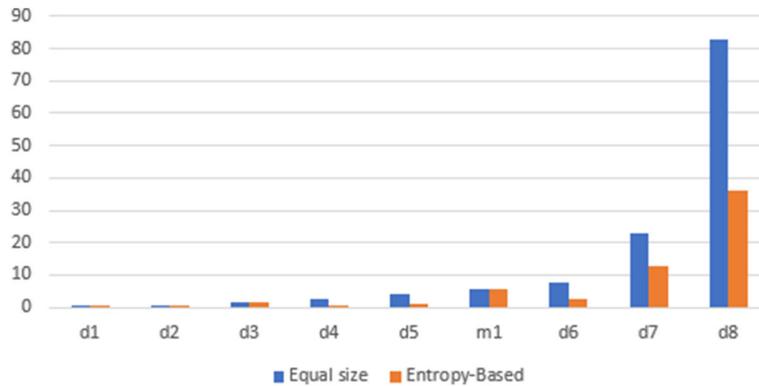
The running time of the proposed tool was also compared with the running time of a recently published method from [5], which was originally designed for the analogous problem for immunosequencing data. Figure 3 illustrates that signature-based filtering approach demonstrates the significant advantage.

Figure 4 demonstrates that for aligned sequences in most cases the adjustment utilizing entropy-based variable-size  $k$ -segments allows to achieve a significant speedup with respect to a constant-size  $k$ -segment.

The speedup described above is achieved by the combination of the several features. The first feature is the quality of filtering, which is analyzed in Tables 4 and 5. On average, only ~10% of sequence pairs that pass filtering step ("false positives") are not genetically related. As expected, most of the false positive pairs were very close to the threshold (Fig. 5). With the threshold set at  $t = 10$ , pairs with an edit distance of  $l(S, Q) = 11, 12, 13$  represent up to 75% of all false positives. Pairs that are so close to the threshold are difficult to filter out.



**Fig. 3** Running times of method from [5] (blue) and the proposed method (red) on datasets d1-d8



**Fig. 4** Comparison of running times of equal segment size and entropy-based approaches for single sample problem

**Table 4** Filtering quality (unaligned sequences)

Test	Pairs in output	Pairs that passed filtering	Filtering PPV	# of $led(S, Q)$ calculations	$led(S, Q)/allpairs$
d1	60 421	65 937	0.9163	5 517	1.1%
d2	370 262	397 987	0.9303	18 754	0.93%
d3	1 800 945	1 873 268	0.9614	72 820	0.91%
d4	5 848 556	6 256 934	0.9347	411 660	1.28%
d5	18 570 536	21 028 890	0.8831	2 477 531	1.94%
d6	38 835 302	46 744 915	0.8308	7 952 495	1.55%
d7	155 373 208	187 011 650	0.8308	31 809 970	1.55%
d8	621 556 832	748 119 580	0.8308	127 239 860	1.55%
m1	51 453 578	54 640 978	0.9417	7 303 118	14.2%

**Table 5** Filtering quality (aligned sequences)

Test	Pairs in output	Pairs that passed filtering	Filtering PPV
d1	60 420	64 573	0.9357
d2	379 233	385 646	0.9834
d3	1 800 448	1 862 914	0.9665
d4	5 845 274	6 204 049	0.9422
d5	18 551 359	20 706 813	0.8959
d6	38 792 420	44 939 957	0.8632
d7	155 201 680	179 791 828	0.8632
d8	620 870 720	719 231 312	0.8632
m1	47 101 270	48 888 011	0.9635

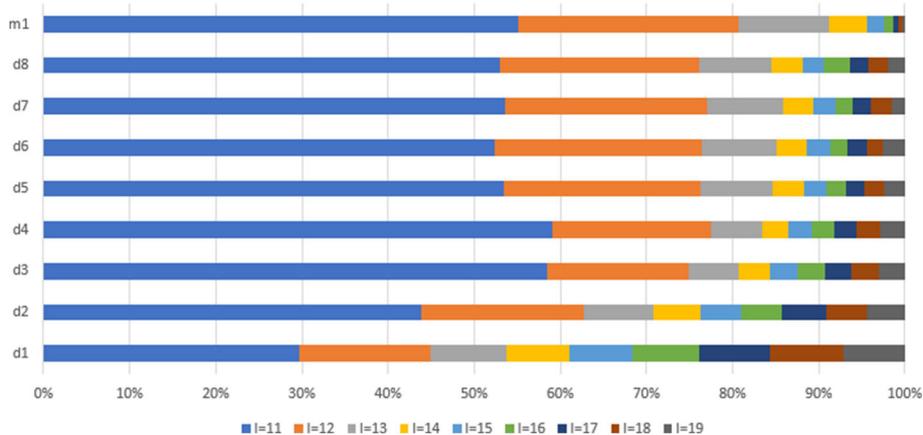
Another important feature is the fact that as the input increases in size the runtime of the algorithm is dominated by the edit distance calculations (Fig. 6). However, the filtering and the Hamming distance shortcut reduces the number of edit distance calculations that must be performed. As a result, the actual edit distance is only calculated on small portion of the total pairs from the dataset (Table 4).

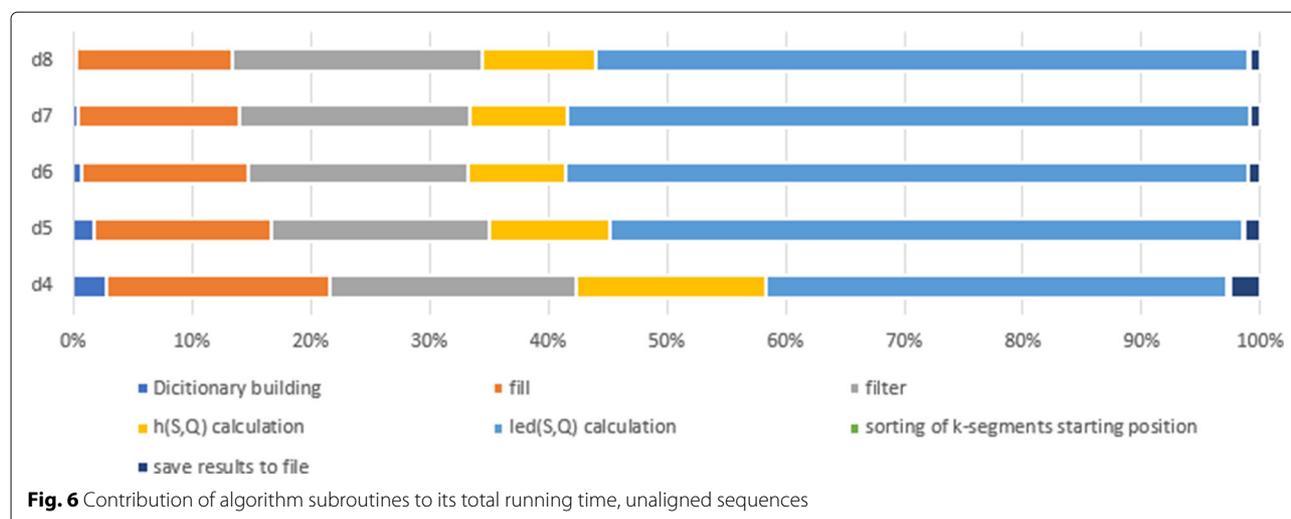
We attempted to improve the filtering performance using other methods such as  $k$ -mer similarity [20], true matches [6], Hamming radius filter [2]. However, the overhead of these methods was greater than any runtime savings.

## Discussion

In this paper we presented an efficient signature-based tool to solve problems of edit or Hamming distance sequence retrieval for NGS data obtained from heterogeneous viral populations. It outperforms other approaches to this problem by including several data-specific steps and filters. The proposed approach was designed having

problems of computational molecular epidemiology in mind. Until recent years, genomic analyses of viral transmissions and epidemic spread used a single viral sequence per infected individual. The advent of sequencing technologies now allows to analyze thousands of viral haplotypes per patient. Furthermore, just in the United States, from 2.7 million to 3.9 million people are infected with HCV [21], while  $\sim 1.1$  million people are infected with HIV [22]. These numbers put an immense computational burden on real-time advanced molecular surveillance systems, such as Global Hepatitis Outbreak Surveillance Technology (GHOST) [23], which is currently being deployed by Centers for Disease Control and Prevention. When deployed, such system should have computational capacity to identify, whether a query set of viral samples is genetically related with any sample from a database consisting of hundreds of thousands of samples each consisting of thousands of sequences. The proposed approach aim to allow to process such queries efficiently. It builds on the general idea proposed in [6], which is heavily optimized by utilization of efficient data structures, such as inverted indexes and hash maps, and introduction of running time-improving procedures, such as efficient hash values calculation and determination of optimal order of  $k$ -mers processing. The proposed optimization steps allows for more than 2.5-fold running time decrease in comparison with the non-optimized filtering (Table 2). Furthermore, the proposed method takes into account uneven distribution of heterogeneous position along viral genomes by using variable entropy-based  $k$ -mers. It allows to improve both filtering quality (Fig. 2) and speed (Fig. 4). In general, for viral samples comparison the proposed filtering approach allows to eliminate the overwhelming majority of sequence comparisons and achieve a substantial running time decrease (Tables 1, 2, 3, 4 and 5).

**Fig. 5** False positive sequence pairs  $l(S, Q) > t$  at different edit distances /



## Conclusion

The proposed tool allows for efficient detection of genetic relatedness between genomic samples produced by deep sequencing of heterogeneous populations. The tool is freely available for download at <https://github.com/vyacheslav-tsvina/signature-sj>. It should be especially useful for analysis of relatedness of genomes of viruses with unevenly distributed variable genomic regions, such as HIV and HCV. For the future we envision, that besides applications in molecular epidemiology the tool can also be adapted to immunosequencing and metagenomics data.

## Abbreviations

HCV: Hepatitis C virus; HVRI: Hypervariable region 1; NGS: Next-generation sequencing

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## Availability of data and materials

The datasets generated and analyzed during the current study are available in the github repository <https://github.com/vyacheslav-tsvina/signature-sj/tree/master/data>

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## Authors' contributions

VT designed, implemented and tested the algorithms and wrote the paper; DC and SS designed algorithms, prepared datasets for algorithms' testing and wrote the paper; AZ designed algorithms; YK prepared datasets for algorithms' testing, designed the study and supervised the research; PS designed the study, designed algorithms, prepared datasets for algorithms' testing, wrote the paper and supervised the research. All authors have read and approved the final manuscript.

## Ethics approval and consent to participate

Not applicable.

## Consent for publication

Not applicable.

## Competing interests

The authors declare that they have no competing interests.

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## Author details

<sup>1</sup>Computer Science Department, Georgia State University, 25 Park Place NE, 30303 Atlanta, GA, USA. <sup>2</sup>Molecular Epidemiology and Bioinformatics Laboratory, Division of Viral Hepatitis, Centers for Disease Control and Prevention, 1600 Clifton Road, 30333 Atlanta, GA, USA.

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